## AMENDMENTS TO THE CLAIMS

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## 1.-113. (Canceled)

- 114. (Currently amended) A method comprising:
- <u>before providing access to information</u>, requesting consent of a user to the electronic delivery of the information; and

once that the consent is deemed given by the user, enabling the delivery of the information to the user.

- 115. (Previously pending) The method of claim 114 further comprising receiving the consent.
- 116. (Currently amended) The method of claim 115 wherein the consent is received over a computer communications link.
- 117. (Currently amended) The method of claim 114 further comprising storing <u>an</u> indication of the consent.
- 118. (Currently amended) The method of claim 114 wherein enabling the delivery of information comprises sending the information. to the user.
- 119. (Currently amended) The system method of claim 118 wherein enabling the delivery of information in electronic form comprises sending the information to the user in an electronic form.
- 120. (Previously pending) The method of claim 118 wherein enabling the delivery of information comprises providing instructions for obtaining the information electronically.

- 121. (Currently amended) The method of claim 118 wherein enabling the delivery of information comprises providing an address on the World Wide Web Internet where the information is located.
- 122. (Previously pending) The method of claim 114 wherein the consent is deemed given by an affirmative action from the user.
- 123. (Previously pending) The method of claim 114 wherein the consent is requested by sending the user a computer-readable device.
- 124. (Currently amended) The method of any <u>one</u> of claims 114-123 wherein the information is <u>sensitive</u> <u>securities</u> information.
- 125. (Previously pending) The method of claim 124 wherein the information is compliance information.

## 126.-195. (Canceled)

- 196. (New) The method of claim 124, wherein the securities information relates to one or more mutual funds.
- 197. (New) The method of claim 121, wherein the address on the Internet is an address on the World Wide Web.
- 198. (New) The method of claim 114, wherein the information comprises a plurality of items of information.

- 199. (New) The method of claim 114, wherein consent is deemed given by the user upon the user expressly consenting to electronic delivery.
- 200. (New) The method of claim 114, wherein consent is deemed given by the user when a predetermined period passes after requesting the user's consent without the user having expressly consented to electronic delivery.
- 201. (New) The method of claim 114, wherein requesting consent comprises requesting the user's consent to the electronic delivery of a first type of information, and wherein enabling the delivery of the information comprises enabling the delivery of information of the first type to the user.
- 202. (New) The method of claim 201, wherein the first type of information relates to a first type of document.
- 203. (New) The method of claim 201, wherein the first type of information relates to a first type of transaction.
- 204. (New) The method of claim 114, wherein requesting consent comprises requesting the user's consent to a single electronic delivery of information.
- 205. (New) The method of claim 114, wherein requesting consent comprises requesting the user's consent to one or more electronic deliveries of information to occur in the future.
- 206. (New) The method of claim 205, wherein the information comprises compliance information, and wherein each electronic delivery is to occur after a filing of compliance information by a securities issuer.

- 207. (New) The method of claim 114, wherein consent is deemed given by the user if consent is deemed given by an entity acting on behalf of the user.

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- 208. (New) The method of claim 114, wherein the information comprises a first item and one or more other items, and wherein enabling the delivery of the information to the user comprises enabling the delivery of the first item to the user and making the one or more other items of information available to the user when the delivery of the first item is enabled.
- 209. (New) The method of claim 208, wherein making the one or more other items of information available to the user comprises making the one or more other items available to the user in hard copy form.
- 210. (New) The method of claim 208, wherein making the one or more other items of information available to the user comprises making the one or more other items available to the user in electronic form.
- 211. (New) The method of claim 114, further comprising, if consent is deemed to not be given by the user, enabling the delivery of the information to the user in hard copy form.

## (New) A method comprising: 212.

before providing access to information, requesting consent of a user to electronic delivery of the information; and

if consent is deemed given by the user, enabling the delivery of the information to the user.

213. (New) A method comprising: providing a user electronic access to information; and opi, to other remains of ranguages i, 2007

enabling the user to negatively consent to the electronic access to the information.

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- 214. (New) A method comprising:

  providing a link to enable a user to electronically access information; and
  enabling the user to request an alternative version of the information.
- 215. (New) The method of claim 214, wherein the information comprises information provided by a prospectus.
- 216. (New) The method of claim 215, wherein the alternative version of the information comprises a summary version of the prospectus.
- 217. (New) The method of claim 215, wherein the alternative version of the information comprises a full version of the prospectus.
- 218. (New) The method of claim 214, wherein the alternative version comprises a paper version.
- 219. (New) The method of claim 214, wherein the user's failure to request the alternative version is deemed consent to electronic delivery of the information.